

Eric Cohen

Independent Advisor
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This Brochure Supplement provides information about Eric Cohen that supplements the B. Riley Wealth Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact B. Riley's Compliance Department if you did not receive the B. Riley Wealth Advisors Brochure or if you have any questions about the contents of this Supplement.

Additional information about this Financial Advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Business Experience and Educational Background

CRD #: 6171387

Year of Birth: 1970

Education: The State University of New York at Oneonta, B.S. in Economics, 1992

Business Experience:

February 2023 to Present	Registered Representative, B. Riley Wealth Management
February 2023 to Present	Independent Advisor, B. Riley Wealth Advisors
November 2020 to February 2023	Financial Advisor, Stoevers Glass Wealth Management
January 2020 to February 2023	Registered Representative, Stoevers Glass & Co.
January 2019 to December 2019	Registered Representative, Herbert J Sims & Co.
February 2013 to January 2019	Broker Trainee, The GMS Group, LLC

Item 3 – Disciplinary Information

Mr. Cohen has no disciplinary actions to disclose.

Item 4 – Other Business Activities

Mr. Cohen is a registered representative with B. Riley Wealth Management, Inc. (“BRWM”), member FINRA/SIPC, as well as an investment advisory representative (“IAR”) of B. Riley Wealth Advisors, Inc. (“BRWA”) and licensed to conduct business in this state.

Registered representatives of BRWM provide securities brokerage services for commissions and receive a portion of the brokerage commissions paid to BRWM. They may also receive a portion of any ongoing distribution of service (trail) fees from the sale of mutual funds or from variable annuity purchases. The dual registration presents an inherent conflict of interest and an incentive to recommend investment products based on the compensation received, rather than on a client’s needs.

However, in accordance with fiduciary duties of an IAR and as a matter of Firm procedure, IARs assist clients in making decisions regarding whether to establish a brokerage or an advisory account (and determine the types of transactions that will take place through each account if a client has both accounts) based on the clients’ goals, objectives, risk tolerance, and other factors.

As a registered representative of BRWM, Mr. Cohen can only offer those products and services available through BRWM. Mr. Cohen will direct all your broker-dealer securities transactions through BRWM and will only offer advisory programs available through BRWA. This means that you may be able to receive better transaction pricing and execution at a different broker-dealer, and you may be able to receive a more appropriate advisory program from a different registered investment advisor.

Item 5 – Additional Compensation

As an SEC-registered Investment Adviser, BRWA pays Mr. Cohen a portion of any investment advisory fees collected. As a Broker-Dealer, BRWM pays Mr. Cohen a portion of any commissions, markups and transaction charges collected, but only on non-advisory assets. Other than normal production bonuses, Mr. Cohen does not receive additional compensation based on the amount of new accounts or client referrals.

Item 6 – Supervision

BRWA provides investment advisory and supervisory services in accordance with the BRWA Policies and Procedures. Each advisor associated with BRWA has been assigned a supervising principal. The supervising principal, or any properly documented qualified designee, is responsible for undertaking the day-to-day supervision of the advisor's activities. Supervising principals have primary responsibility to review and approve the account activities of the advisors assigned to them and may counsel with the Compliance Department to address perceived issues as deemed appropriate. The Compliance & Supervision Departments also provide additional oversight functions, as necessary. Each BRWA advisor must acknowledge that he or she is aware of and agrees to abide by all applicable government and industry regulations as well as the BRWA Code of Ethics.

Steven Maurer, OSJ Principal/ Branch Manager, has primary responsibility for the supervision of Mr. Cohen and may be reached at 561-392-1011.